

Companies Form 17

APPLICATION FOR REGISTRATION \*AS AN AUDITOR \*AND \*AS A LIQUIDATOR

1. (a) Applicant's surname Christian or given names

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(b) Residential address:

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(c) Date of birth:

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(d) Names and addresses of any accounting partnership of which the applicant is a member:

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(e) Name and addresses of any employers:

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(f) Full address and telephone number of the principal place at which the applicant \*is to practise, \*is practising, \*as an \*auditor/\*liquidator:

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(g) Any name, other than his own, or style under which he, \*is to practise, \*is practising, as an \*auditor/\*liquidator:

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2. Applicant's occupation:

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(1) 3. Particulars of current and/or previous registration as auditor and liquidator:

- (a) With the National Companies and Securities Commission
- (b) Norfolk Island Companies Act 1926 (from date registered and registration no.)

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(2) 4. Having regard to sub-section 43(3) or 45(3) state particulars of -

- (a) academic and professional qualifications; and
- (b) employment and business activities over the previous 10 years, giving information where relevant such as -
  - (i) experience in accounting and auditing
  - (ii) names of registered company auditors by whom the applicant has been employed or under whom the applicant has served.
  - (iii) details of experience in public practice
  - (iv) experience as a liquidator
  - (v) university or institution

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5. State whether the applicant has at any time been excluded from practising as, or been refused registration as, auditor or liquidator, or been suspended or otherwise dealt with by a Companies Auditors Board, or a Companies Auditors and Liquidators Disciplinary Board, of a State or Territory, by the Public Accountants Registration Board of the New South Wales or Queensland, by the National Companies and Securities Commission, by any other body having, or previously having, authority in Australia or elsewhere relating to the registration or disciplining of auditors or liquidators, or by a Tax Agents Board.  
(Answer “Yes” or “No”. If the answer is “Yes”, attach annexure giving full details).

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6. Provide information relating to any applications pending, or previously refused, for registration as an auditor or a liquidator.

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(3) 7. Where the applicant is, or has at any time been -

- (a) as an insolvent under administration, subject to section 282 prohibition under sub-section 282(2);
- (b) as a person convicted of an offence, subject to a section 282 prohibition under sub-section 282(2);
- (c) subject to a section 283 order; or
- (d) subject to a section 651 order,

supply particulars, as set out below, in relation to each prohibition or order to which the applicant is or has been, subject;

(a) Particulars of insolvency

\* In case of bankruptcy.

(i) date

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(ii) district

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(iii) bankruptcy number

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\* In case of deed of arrangement, deed of assignment or composition.

(i) date

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(ii) district

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(iii) number of deed or composition

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(iv) name and address of trustee

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(b) Particulars of conviction resulting in section 282 prohibition

(i) date

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(ii) court in which conviction was recorded

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(iii) offence of which applicant was found guilty

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(iv) penalty (including, if sentence of imprisonment imposed, length of sentence)

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(c) Particulars of section 283 order

(i) date

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(ii) court in which order was made

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(iii) period of prohibition specified in order

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(d) Particulars of section 651 order

(i) date

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(ii) court in which order was made

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(iii) period of prohibition specified in order

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8. State whether the applicant has at any time been convicted in Australia, Norfolk Island or elsewhere of any offence other than a traffic offence.  
(Answer "Yes" or "No". If the answer is "Yes", attach annexure giving full details, including the name of the court and the penalty.) .....

9. State whether there are any legal or disciplinary proceedings pending against the applicant that may result in action of a sort requiring disclosure under paragraph 5,7 or 8.  
(Answer "Yes" or "No". If the answer is "Yes", attached annexure giving full details).  
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10. State whether the applicant is a resident of : [ ] Australia  
[ ] New Zealand or  
[ ] Norfolk Island

Dated this ..... day of ..... 19.....

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(Signature)

\* Strike out if inapplicable.

Lodged by
Address
Phone No.

Lodged with the Registrar on:
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**INSTRUCTIONS**

- (1) To be completed by an applicant previously registered Australia or in Norfolk Island.
- (2) To be completed by a person not eligible to complete paragraph 3.
- (3) Section 12 sets out the circumstances in which a person shall be taken to be or become subject to a section 282 prohibition, section 283 order or a section 651 order.

Where, by leave of the Court given under section 282, the applicant is no longer subject to a section 292 prohibition, an office copy of the court order, whether or not subject to conditions or limitations shall be annexed.

Where the applicant has a status equivalent to that of an insolvent under administration, under the law of a country other than Norfolk Island or Australia, full particulars must also be provided.